IN THE UNITED STATES PATENT AND TRADEIN RK OFFICE

#21

Attorney Docket No. 024051/0135

Applicant:

Gary Allan KEMP II et al.

Title:

CLICK BASED TRADING WITH INTUITIVE GRID DISPLAY OF

MARKET DEPTH

Serial No.:

09/590,692

Filed:

June 9, 2000

Examiner:

Weisberger, Richard C.

Art Unit:

3624

Allowed:

July 31, 2002

## REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Commissioner for Patents Box RCE Washington, D.C. 20231

NOV 1 2 2002 OFFICE OF PETITIONS

Sir:

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application. This RCE and the enclosed items listed below are being filed prior to the earliest of: (1) payment of the issue fee (unless a petition under 37 C.F.R. § 1.313 is granted); (2) abandonment of the application; or (3) the filing of a notice of appeal to the U.S. Court of Appeals for the Federal Circuit under 35 U.S.C. §141, or the commencement of a civil action under 35 U.S.C. §145 or §146 (unless the appeal or civil action is terminated).

- 1. Submission required under 37 C.F.R. §1.114: (check items that apply)
  - a. Previously submitted:

| [ ] | Please enter and consider the amendment/reply previously filed or  |  |  |  |  |  |
|-----|--|--|--|--|--|--|
|     | · · · · · · · · · · · · · · · · · · ·  |  |  |  |  |  |
|     | Please consider the Affidavit(s)/Declaration(s) previously filed or but not considered.                      |  |  |  |  |  |
| ]   | Please consider the arguments in the Appeal Brief or Reply Brief under 37 C.F.R. § 1.116 previously filed on |  |  |  |  |  |
| ]   | Other  |  |  |  |  |  |
|     |  |  |  |  |  |  |

- b. Enclosed are:
- [X] Petition to Withdraw of Application from Issue under 37 C.F.R. § 1.313(c)(2).
- [X] Information Disclosure Statement.

and the second

[X] Form PTO SB/08 with copies of 7 listed references.

- [ ] Affidavit(s)/Declaration(s).
- [ ] Other.

## Miscellaneous:

[ ] Suspension of action of the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_ months.

The filing fee is calculated below:

|  | Claims          | Previously<br>Paid For |    |   | Extra Claims Present |           |          | Fee Totals |          |
|--|-----------------|------------------------|----|---|----------------------|-----------|----------|------------|----------|
|  | as Amended      |                        |    |   |                      | Rate      |          |            |          |
| RCE Fee 1.17(e)                                      |                 |                        |    |   |                      |           | \$740.00 |            | \$740.00 |
| Total Claims:  | 67              | · — ·                  | 67 | _ | 0                    | ×         | \$18.00  | = '        | \$0.00   |
| Independents:  | 6               | _                      | 6  | _ | 0                    | x         | \$84.00  | = '        | \$0.00   |
| First presentation of any Multiple Dependent Claims: |                 |                        |    | + | \$280.00             | = '       | \$0.00   |            |          |
|  | CLAIMS FEE TOTA |                        |    |   |                      | EE TOTAL: | = .      | \$740.00   |          |

[ ] Applicant hereby petitions for an extension of time under 37 C.F.R. §1.136(a) for the total number of months checked below:

| []    | Extension for response filed within the first month:  | \$110.00          | \$0.00   |
|-------|---|-------------------|----------|
| ΕĴ    | Extension for response filed within the second month: | \$400.00          | \$0.00   |
| [ ]   | Extension for response filed within the third month:  | \$920.00          | \$0.00   |
| [ ]   | Extension for response filed within the fourth month: | \$1,440.00        | \$0.00   |
| []    | Extension for response filed within the fifth month:  | \$1,960.00        | \$0.00   |
|       | EXTEN   | SION FEE TOTAL:   | \$0.00   |
|       | CLAIMS AND EXTEN                                      | SION FEE TOTAL:   | \$740.00 |
| [ X ] | Small Entity Fees Apply (subtract ½ of above):        |                   | \$370.00 |
| []    | Suspension of action requested under 37               | C.F.R. § 1.103(c) | \$0.00   |
|       |   | TOTAL FEE:        | \$370.00 |

- [X] A check in the amount of \$500.00 (\$130.00/Petition Fee & \$370.00/Filing Fee) is enclosed.
- [X] The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Registration No. 41,514

Ankur D. Shah

NOVEMBER 12, 2002

Date

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